

State of Vermont
Department of Financial Regulation
89 Main Street
Montpelier, VT 05620-3101
www.dfr.vermont.gov

For consumer assistance
[All Insurance] 800-964-1784
[Securities] 877-550-3907
[Banking] 888-568-4547

VIA EMAIL

Pochop Financial Management, Inc.
1233 Shelburne Rd.
South Burlington, VT 05403
rene@pochopfinancial.com

February 4, 2019

Re: Annual Amendment, dated 1/23/2019

Dear Ms. Pochop:

The Vermont Securities Division (the “Division”) received Pochop Financial Management, Inc. annual amendment to its Form ADV. We have completed an initial review of the amendment and note the following issues that require your attention:

Website

- Please add a disclaimer on the website with a link to the SEC site for a consumer to review the firms Form ADV Part 2A.

Example verbiage: Pochop Financial Management Inc. is a Registered Investment Advisor in the State of Vermont. Pochop Financial Management only provides investment services in states in which it is registered or where an exemption or exclusion from registration exists. Registration with the SEC or State does not imply a certain level of skill or training. Click here to view Pochop Financial Management Inc’s Form ADV. (link to <https://adviserinfo.sec.gov/>)

Ms. Pochop, If you have any questions concerning these comments, please feel free to contact me directly at (802) 828-5027 or eric.gagnon@vermont.gov.

Sincerely,

Eric Gagnon
Securities Examiner

